1614

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Amar Lulla, et al. Group Art Unit: Serial No.: 10/576,908

Examiner N/A Filed: December 18, 2006

888888 Confirmation No.: 9899 For: TRANSDERMAL PHARMACEUTICAL SPRAY FORMULATIONS COMPRISING A VP/VA COPOLYMER AND A NON-AQUEOUS VEHICLE

CERTIFICATE OF FILING

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450 Pursuant to 37 C.F.R. §1.8. I hereby certify that this correspondence is being electronically submitted to the U.S. Patent and Trademark Office website, www.uspto.gov on 8 11 200 7

Edith S. Shek

REQUEST FOR CORRECTED FILING RECEIPT

Sir ·

Applicants hereby respectfully request issuance of a corrected Filing Receipt for the aboveidentified application. The official Filing Receipt, mailed on June 15, 2007 from the U.S. Patent and Trademark Office, indicates incorrect title and assignee information. The correct title is "Transdermal Pharmaceutical Spray Formulations Comprising a VP/VA Copolymer and a Non-Aqueous Vehicle." The correct assignee information is "CIPLA Limited, Maharashatra, INDIA" as recorded under Reel 018265 and Frame 0689 in the USPTO. Copies of the marked up Filing Receipt and Notice of Recordation of Assignment Document are enclosed for reference.

Applicants believe that no fee is due for the filing of this Request for Corrected Filing Receipt. Nonetheless, in the event that a fee is due, please charge it to Deposit Account 50-1515 of Conley Rose, P.C.

Respectfully submitted,

ey B. Carroll No. 39.624

ATTORNEY FOR APPLICANTS

Date: 8-21-07

CONLEY ROSE, P.C. 5700 Granite Parkway, Suite 330 Plano, Texas 75204 Telephone: (972) 731-2288

Facsimile: (972) 731-2288



JNITED STATES PATENT AND TRADEMARK OFFICE

NITED STATES DEPARTMENT OF COMMERCE tes Patent and Tradema MISSIONER FOR PATENTS

APPL NO.	FILING OR 371(c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	TOT CLMS	IND CLMS
10/576,908	12/18/2006	1614	1030	PAC/ags/23361US(4137-0070	20	1

CONFIRMATION NO. 9899

30652 CONLEY ROSE, P.C. 5700 GRANITE PARKWAY, SUITE 330 PLANO, TX 75024

FILING RECEIPT

Date Mailed: 06/15/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon, if you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Amar Lulia, Maharashtra, INDIA: Geena Malhotra, Maharashtra, INDIA;

Assignment For Published Patent Application CIPLA LIMITED, MAHARASHATRA, INDIA Waterlead Limited, Douglas, Iele of Man, SOUTH AFRICA

Power of Attorney: The patent practitioners associated with Customer Number 30652.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/GB04/04487 10/21/2004

Foreign Applications

INDIA 1122/MUM/2003 10/23/2003

If Required, Foreign Filing License Granted: 06/14/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/576,908

Projected Publication Date: 09/20/2007

Non-Publication Request: No

Early Publication Request: No

Title

Transdermal Pharmaceutical Spray Formulations Comprising a Vp/Va Copolymer and a Non-ASULE Vehicle
AQUEOUS

Preliminary Class

514

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filling of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filling of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filling of a U.S. patent application serves as a request for a foreign filling license. The application's filling receipt contains further information and guidance as to the status of applicant's license for foreign filling.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For Information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotiline at 1-866-999-HALT (1-866-999-4188).

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15 (b) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The

date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing leave relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of Way (22 CFR 121-129); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5,162 miles and the secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5,162 miles application.

3/10/00 12:22 PAGE UUZ/UU4



UNITED STATES PATENT AND TRADEMARK OFFICE UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND

DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

SEPTEMBER 18, 2006

PTAS

500152421A

500152421A

RODNEY B. CARROLL

5700 GRANITE PARKWAY, SUITE 330 PLANO, TX 75024

> UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 09/18/2006

REEL/FRAME: 018265/0689 NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS). DOCKET NUMBER: PAC/23361 US (4137-00700)

ASSIGNOR:

LULLA. AMAR

DOC DATE: 06/14/2006

ASSTGNOR:

MALHOTRA, GEENA

DOC DATE: 06/14/2006

ASSIGNEE:

CIPLA LIMITED

289 BELLASIS ROAD, MUMBAI CENTRAL

MUMBAI

MAHARASHATRA, INDIA 400 008

9/18/06 12:22 PAGE 003/004 Fax Server

018265/0689 PAGE 2

SERIAL NUMBER: 10576908 FILING DATE: PATENT NUMBER: ISSUE DATE:

TITLE: TRANSDERMAL PHARMACEUTICAL SPRAY FORMULATIONS COMPRISING A VP/VA

COPOLYMER AND A NON-AQUOUS VEHICLE

ASSIGNMENT SERVICES BRANCH PUBLIC RECORDS DIVISION

RightFax 9/18/06 12:22 PAGE 004/004 Fax Server

PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1 09/18/2006 500152421

SUBMISSION TYPE: NEW ASSIGNMENT NATURE OF CONVEYANCE: ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
Amar LULLA	06/14/2008
Geena MALHOTRA	06/14/2006

RECEIVING PARTY DATA

Name:	Cipla Limited
Street Address:	289 Bellasis Road, Mumbai Central
Internal Address:	Mumbal
City:	Maharashatra
State/Country:	INDIA
Postal Code:	400 008

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	10576908

CORRESPONDENCE DATA

Fax Number:

(972)731-2289

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone:

(972) 731-2288

rcarroll@dfw.conleyrose.com

Email:

Correspondent Name:

Rodney B. Carroll

Address Line 1:

5700 Granite Parkway, Suite 330

Address Line 4:

Plano, TEXAS 75024

ATTORNEY DOCKET NUMBER:

PAC/23361 US (4137-00700)

NAME OF SUBMITTER:

Rodney B. Carroll

Total Attachments: 2

source=ExecutedAssignment#page1.tif source=ExecutedAssignment#page2.tif